IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Fang et al.

SERIAL NO.:

[not yet assigned]

FILING DATE:

Herewith

TITLE:

SUBBAND ACOUSTIC FEEDBACK CANCELLATION IN

HEARING AIDS

EXAMINER:

[not yet assigned]

ART UNIT:

[not yet assigned]

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449 was previously submitted in U.S. Patent Application No. 10/254,698, filed on September 24, 2002, and entitled "Subband Acoustic Feedback Cancellation in Hearing Aids", the application from which this continuing application claims priority, and may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

T

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

. .

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; (3) before the mailing date of a first office action on the merits, or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

() 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- (X) No fee is due.
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.

III

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- () No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted,

THELEN REID & PRIEST LLP

Dated: December 15, 2003

David Ritchie

Reg. No. 31,562

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640 (408) 292-5800

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office					SNX-0		not yet as	Serial No. not yet assigned	
					Applicant: Fang et al.				
Inf rmation Disclosure Statement by Applicant						Herewith G	roup:		
(Use several sheets if necessary)						Ticrowith G			
				U.S. Patent Docume	nts	- 			
Init.		Document No.	Date	Name		Class	Subclass	Filing Date	
	A	3,578,913	05/18/71	Ufkes		179	1	05/13/68	
	В	3,685,009	08/15/72	Gleming, Jr.	•	340	16R	06/19/70	
	C	3,928,733	12/23/75	Hueber		179	107R	11/20/74	
	D	4,025,721	05/24/77	Graupe et al.	-	179	1P	05/04/76	
	E	4,061,875	12/06/77	Freifeld et al.		179	1P	02/22/77	
	F	4,135,590	01/23/79	Gaulder		179	1P	07/26/76	
	G	4,185,168	01/22/80	Graupe et al.		179	1P	01/04/78	
	Н	4,187,472	02/05/80	Yum		330	260	01/30/78	
	I	4,188,667	02/12/80	Graupe et al.		364	724	11/18/77	
	J	4,216,430	08/05/80	Amazawa et al.		455	219	02/21/79	
	K	4,238,746	12/09/80	McCool et al.		333	166	03/20/78	
	L	4,243,935	01/06/81	McCool et al.		324	77R	05/18/79	
	M	4,326,172	04/20/82	Schmidt		330	294	06/26/80	
	N	4,355,368	10/19/82	Zeidler et al.		364	728	10/06/80	
	0	4,368,459	01/11/83	Sapora		340	407	12/16/80	
	P	4,548,082	10/22/85	Engebretson et al.		73	585	08/28/84	
-	Q	4,589,137	05/13/86	Miller		381	94	01/03/85	
	R	4,602,337	07/22/86	Cox		364	480	02/24/83	
	S	4,628,529	12/09/86	Borth et al.		381	94	07/01/85	
	T	4,658,426	04/14/87	Chabries et all		381	94	10/10/85	
	U	4,718,099	01/05/88	Hotvet		381	68.4	01/29/86	
	V	4,723,294	02/02/88	Taguchi		381	94	12/08/86	
`	W	4,759,071	07/19/88	Heide	· · · · · · · · · · · · · · · · · · ·	381	68.4	08/14/86	
	X	4,783,818	11/08/88	Graupe et al.		381	71	10/17/85	
	Y	4,802,227	01/31/89	Elko et al.		381	92	04/03/87	
	Z	4,912,767	03/27/90	Chang		381	47	03/14/88	
	AA	4,939,685	07/03/90	Feintuch		364	724.19	12/27/89	
	AB	4,956,867	09/11/90	Zurek et all		381	94.1	04/20/89	
	AC	5,016,280	05/14/91	Engelbretson et al.		381	68	03/23/88	
	AD	5,027,306	06/25/91	Dattorro et al.		364	724.10	05/12/89	
Examin		1		<u> </u>		Date Consi			

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

nit. AE	(Use several sheet		Applicant	Applica	nt: Fang et a	վ.		
nit. AE	(Use several shee			I.				
AE		ets if necessary						
AE	Document No.)					
AE	Document No.		U.S. Patent Documen	ıts	L 01	T Cultural -	Fillia Data	
		Date	Name		Class	Subclass	Filing Date	
AF	5,091,952	02/25/92	Williamson et al.		381	68.2	11/10/88	
	5,097,510	03/17/92	Graupe		381	47	11/07/89	
AG	5,111,419	05/05/92	Morley Jr. et al.		364	724.19	04/11/88	
AH	5,165,017	11/17/92	Eddington et al.		381	68.4	02/23/90	
AI	5,225,836	07/06/93	Morley Jr. et al.		341	150	11/15/91	
AJ	5,233,665	08/93	Vaughn et al.		381	93	12/17/91	
AK	5,263,019	11/93	Chu		381	83	02/19/92	
AL	5,291,525	03/01/94	Funderburk et al.		375	98	04/06/92	
AM	5,305,307	04/94	Chu		381	83	02/21/91	
AN	5,355,418	10/11/94	Kelsey et al.		381	72	02/22/94	
AO	5,357,251	10/18/94	Morley, Jr. et al.		341	172	04/30/93	
AP	5,396,560	03/07/95	Arcos et al.		381	68	03/31/93	
AQ	5,402,496	03/95	Soli et al.		381	93	07/13/92	
AR	5,412,735	05/02/95	Engebretson et al.		381	94	02/27/92	
AS	5,473,684	12/05/95	Bartlett et al.		379	387	04/21/94	
AT	5,475,759	12/12/95	Engebretson		381	68.2	05/10/93	
AU	5,500,902	03/19/96	Stockham, Jr. et al.		381	68.4	07/08/94	
AV	5,511,128	04/23/96	Lindemann		381	92	01/21/94	
AW	5,651,071	07/22/97	Lindemann et al.		381	68.2	09/17/93	
AX	5,677,987	10/97	Seki et al.		395	2.35	07/18/94	
AY	5,680,467	10/97	Hansen		381	93	10/17/96	
AZ	5,689,572	11/97	Ohki et al.		381	94.1	12/08/94	
BA	5,710,820	01/20/98	Martin et al.		381	68.4	03/22/95	
BB	5,794,187	08/11/98	Franklin et al.		704	225	07/16/96	
BC	5,825,898	10/20/98	Marash		380	92	06/27/96	
BD	5,838,801	11/17/98	Ishige et al.		381	68.4	12/09/97	
BE	5,848,171	12/08/98	Stockham, Jr. et al.		381	321	01/16/96	
BF	5,867,581	02/02/99	Obara		381	312	10/11/95	
BG	6,023,517	02/08/00	Ishige		381	315	10/21/97	
ВН	6,044,162	03/28/00	Mead et al.		381	312	12/20/96	
BI	6,072,885	06/06/00	Stockham, Jr. et al.		381	321	08/22/96	
BJ	6,163,287	12/19/00	Huang		341	143	04/05/99	
Examiner	0,103,207	12/17/00	1144116		Date Consi		1 00,77	

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Form PTO 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office				Atty. Docket No. Serial No. [not yet assigned]							
Information Disclosure Statement by Applicant (Use several sheets if necessary)					Applicant: Fang et al.						
					Filed: Herewith Group: Unknown						
	····			Foreign Documer	nts			Tran	nslation		
Init.		Document No.	Date	Country		Class	Subclass	Yes	No		
	BK	0 064 042	01/02/86	Europe		H04R 25	00	X			
_	BL	0 823 829	11/2/98	Europe		H04R 25	00	X			
	BM	0 930 801	12/30/98	Europe							
	BN	96/35314	11/07/96	PCT		H04R 25	00	X			
	ВО	97/50186	12/31/97	PCT							
	BP	98/28943	7/2/98	PCT	-	H04R 25	00 .	X			
	BQ	98/47227	10/22/98	PCT							
	BR	98/47314	10/22/98	PCT		H04R 25	00	X			
	BS	99/26453	05/27/99	PCT		H04R25	00	X			
	BW BX	Normal and Hearing-Impaired Subjects," Journal of Rehabilitation Research and Development, Vol. 24, No. 4, pp. 75-86. Bustamante et al., "Measurement and Adaptive Suppression of Acoustic Feedback in Hearing Aids", Nicolet Instruments, Madison, Wisconsin, pp. 2017-2020. Chabries, Douglas M. et al., "Application of Adaptive Digital Signal Processing to Speech Enhancement for									
•	BY	the Hearing Impaired," Journal of Rehabilitation Research and Development, Vol. 24, No. 4, pp. 65-74. Chabries, et al., "Application of a Human Auditory Model to Loudness Perception and Hearing									
	BZ	Compensation:, 1995, IEEE, pp. 3527-3530. Chabries, et al., "Noise Reduction by Amplitude Warping in the Spectral Domain in a Model-Based Algorithm", June 11, 1997, Etymotic Update, No. 15.									
	CA	Crozier, P. M. et al., "Speech Enhancement Employing Spectral Subtraction and Linear Predictive Analysis," 1993, Electronic Letters, 29(12): 1094-1095.									
	СВ	"Delta-Sigma Overview", Fall 1996, ECE 627, pp. 1-29.									
	CC	Esterman, Pius, "Feedback Cancellation in Hearing Aids: Results from Using Frequency-Domain Adaptive Filters", Institute for Signal and Information Processing, pp. 257-260.									
	CD	Etter, et al., "Noise Reduction by Noise-Adaptive Spectral Magnitude Expansion", May 1994, J. Audio Eng. Soc., Vol. 42, No. 5, pp. 341-348.									
	CE	George, E. Bryan, "Single-Sensor Speech Enhancement Using a Soft-Decision/Variable Attenuation Algorithm", 1995, IEEE, pp. 816-819.									
	CF	Gustafsson, et al., "A Novel Psychoacoustically Motivated Audio Enhancement Algorithm Preserving Background Noise Characteristics", 1998, IEEE, pp. 397-400.									
Examiner					Date Considered						
			red, whether or								

Form PTO 144 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SNX-036	Serial No. [not yet assigned]					
		Applicant: Fang et al.						
Int	ormation Disclosure Statement by Applicant	Filed benevith Con	Halmann					
	(Use several sheets if necessary)	Filed: herewith Gro	up: Unknown					
	Other Documents (Including Author, Title,							
CG	Kaelin, et al., "A digital Frequency-Domain Implement Compensation for Recruitment of Loudness and Acous Signal Processing 64, pp. 71-85.	98, Elsevier Science B.V.,						
СН	Karema, et al., "An Oversampled Sigma-Delta A/D Co Modulator", 1990, IEEE, pp. 3279-3282.	nverter Circuit Using Two-	Stage Fourth Order					
CI	ter Simulation", 1991,							
CJ	 IEEE, Transactions on Signal Processing, Vol. 39, No. 3, pp. 553-562. CJ Killion, Mead, "The SIN Report: Circuits Haven't Solved the Hearing-in-Noise Problem," Octobe Hearing Journal, Vol. 50, No. 20, pp. 28-34. 							
CK								
CL Lim, et al., "Enhancement and Bandwidth Compression of Noisy Speech", 1979 IEEE, Vol. 67, 1586-1604.								
CM	nsactions on Speech and							
CN	Audio Processing, Vol. 3, No. 4, pp. 304-313. Norsworthy, Steven R., "Delta-Sigma Data Converters"	", IEEE Circuits & Systems	s Society, pp. 321-324.					
СО	Quatieri, et al., "Noise Reduction Based on Spectral Change", MIT Lincoln Laboratory, Lexington, MA, 4 pp							
СР	Riley, et al., "High Decimation Digital Filters, 1991, IEEE, pp. 1613-1615.							
CQ	Sedra, A. S. et al., "Microelectronic Circuits", 1990, Holt Rinehart and Winston, pp. 60-67, 230-241, 900-902							
CR	Sheikhzadeh, H., et al., "Comparative Performance of S Enhancement Strategies with Application to Hearing A 17.							
CS Siqueira et al., "Subband Adaptive Filtering Applied to Acoustic Feedback Reduction in Hearing IEEE, pp. 788-792.								
CT								
CU								
CV	pages.							
CW	Yost, William A., "Fundamentals of Hearing, An Intro-	duction," 1994, Academic	Press, Third Edition, p. 307.					
Examiner		Date Conside	ered					
	tial if citation considered, whether or not citation is in con rmance and not considered. Include a copy of this form w							

Form PTO 1449					1 7			Serial No.				
(Rev. 2-32) U.S. Department of Commerce					SNX-03	36	unassigne	unassigned				
Patent and Trademark Office					Applicant: Xiaoling Fang, et al.							
Information Disclosure Statement by Applicant												
(Use several sheets if necessary)					Filed: herewith Group: unassigned							
			,	U.S. Patent Docume	mta							
Init.		Document No.	Date	Name	iits	Class	Subclass	Filing	Date			
	Α	4,122,303	10/24/78	Chaplin et al.				1-				
	В	4,249,128	2/3/81	Karbowski								
	С	4,494,074	1/15/85	Bose								
	D	4,589,133	5/13/86	Swinbanks				. 				
	Е	4,630,305	12/16/86	Borth et al.				<u> </u>				
	F	4,654,871	3/31/87	Chaplin et al.	•							
	G	4,878,188	10/31/89	Ziegler, Jr.	- 10.1							
	Н	4,953,217	8/28/90	Twiney et al.								
	I	4,985,925	1/15/91	Langberg et al.		-						
-	J	5,105,377	4/14/92	Ziegler, Jr.								
	K	5,177,755	1/5/93	Johnson				_				
	L	5,251,263	10/5/93	Andrea et al.								
	M	5,452,361	9/19/95	Jones								
	N	5,539,831	7/23/96	Harley				- .				
	0	5,544,250	8/6/96	Urbanski				- 				
	P	5,600,729	2/4/97	Darlington et al.								
	Q	5,848,169	12/8/98	Clark, Jr. et al.								
	R.	5,937,070	8/10/99	Todter et al.								
	S	6,035,048	3/7/00	Diethorn								
	T	6,072,884	6/6/00	Kates								
	U	6,118,878	9/12/00	Jones								
	V	6,173,063	1/9/01	Melanson		 						
	W	6,219,427	4/17/01	Kates et al.								
	X	6,278,786	8/21/01	McIntosh								
-	Y	6,396,930	5/28/02	Vaudrey et al.								
,						- .			_			
		· · · · · · · · · · · · · · · · · · ·		Foreign Document	ts			Tran	slation			
Init.		Document No.	Date	Country		Class	Subclass	Yes	No			
	Z	WO 98/43567	10/8/98	International				 	 			
	AA	WO 99/45741	9/10/99	International				 				
Examiner	<u> </u>	L		· · · · · · · · · · · · · · · · · · ·		Date Cons	idered		<u></u>			
Examiner	: Initia	al if citation considere	ed, whether or	not citation is in conf	formance v	vith MPEP 60	09: Draw line th	rough cit	ation			
		nance and not conside										